



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

## BIB DATA SHEET

CONFIRMATION NO. 7758

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/810,012	03/26/2004 RULE	705	4137	040150		
<b>APPLICANTS</b> James C. Houghton, Belle Mead, NJ; Robert B. O'Connor, Rye, NY; James W. Barry, Dix Hills, NY; Paul Calderone, Woodcliff Lake, NJ; Craig Abruzzo, Brooklyn, NY;						
** CONTINUING DATA *****						
** FOREIGN APPLICATIONS *****						
<b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> 06/04/2004						
Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Initials	NJ	4	23	4
Verified and Acknowledged	/ALAN S MILLER/ Examiner's Signature					
<b>ADDRESS</b> KIRKPATRICK & LOCKHART PRESTON GATES ELLIS LLP 535 SMITHFIELD STREET PITTSBURGH, PA 15222 UNITED STATES						
<b>TITLE</b> Tracking account structure to support different leverage levels within an investment fund						
<b>FILING FEE RECEIVED</b> 1040	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees		
				<input type="checkbox"/> 1.16 Fees (Filing)		
				<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)		
				<input type="checkbox"/> 1.18 Fees (Issue)		
				<input type="checkbox"/> Other _____		
			<input type="checkbox"/> Credit			